

# Functional foods/ingredients and dental caries

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## Introduction

In dentistry, there is a well-established practice of fluoridating water, salt and milk for the prevention of dental caries. The use of other foods to promote oral health is another step in the same direction, and the development of research into adding therapeutic benefit to food is welcome. As the mouth is at the beginning of the gastrointestinal tract, the potential to capture oral health benefits from the emerging developments in functional foods is considerable. This chapter will consider whether the prevention of dental caries could benefit substantially from the development of functional foods. ‘Functional Foods’ are foods or foods with components that may provide a health benefit beyond basic nutrition. Examples can include fruits and vegetables, whole grains, fortified or enhanced foods and beverages, and some dietary supplements. Functional attributes of many traditional foods are being discovered, while new food products are being developed with beneficial components. This chapter will present an overview of the possible role of functional foods in caries prevention.

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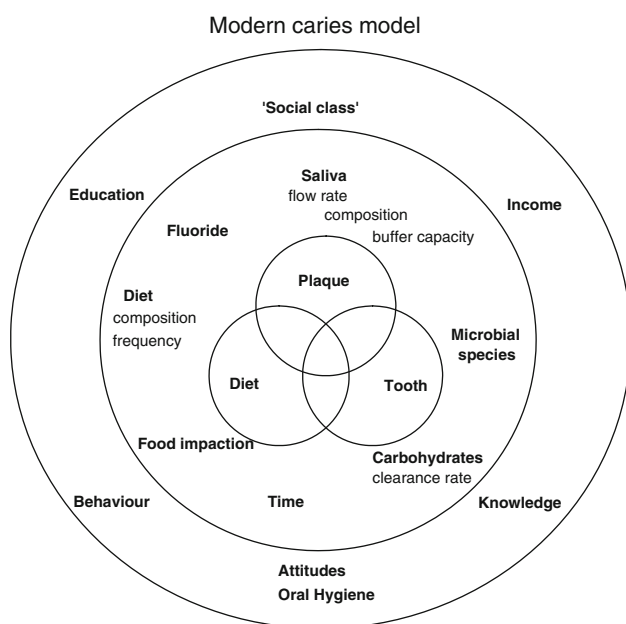
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## Caries process

Tooth, plaque and substrate (diet) are the three prerequisites for a caries lesion to develop as first postulated by Keyes [37] (see the inner three circles in Fig. 1). Teeth may vary in susceptibility to caries according to composition, morphology, location and position, which may promote plaque retention. The greater the tooth’s susceptibility to disease, the greater the chance that caries will occur, although it will not develop without the presence of both bacteria and substrate. The bacteria circle indicates the need for the presence of acidogenic species for caries to develop. Caries will not develop in the absence of bacteria. *Streptococcus mutans*, a Gram-positive acidophilic bacterium, is usually associated with the initiation of caries. In addition to producing lactic acid when it ferments dietary saccharides, *S. mutans* produces by glycosyl transferase (GTF) activity extracellular glucans, which adhere to enamel, allowing the bacterium to colonise the smooth enamel surface. In addition to the extracellular sticky glucans, *S. mutans* produces also intracellular polysaccharides that can be splitted to acidic end products when sugar food is not present in the oral cavity. Other acid-producing microorganisms such as *Lactobacillus species* contribute to the caries process once the initial demineralisation has taken place and a niche for non-adhesive bacteria is created.

The key to the third circle is that microorganisms need substrate to produce acid. Monosaccharides, disaccharides and fermentable carbohydrates can be used for bacterial plaque metabolism. The fourth circle encompassing the other three signifies the importance of time, as caries takes time to develop and the importance of other modifying factors like quantity and quality of saliva, variation in the availability of the substrate and protective factors like the



**Fig. 1** Modern caries model. In 1960, Keyes designed the central triad in this figure. Plaque, tooth and diet were the three prerequisites for a caries lesion to develop. Subsequent researchers enlarged the model to include factors affecting the interplay between the three prerequisites. In the first ring, modifying factors that play a role in the oral cavity are shown. The *second, outer, ring* shows behavioural aspects that are associated with caries risk (modified from [22])

use of fluorides. The length of time the bacteria have access to substrate at the plaque enamel interface plays a major role in the progress of caries.

The tooth minerals, enamel, dentine and root cement consist of an inorganic component (approximately 86, 55 and 45 vol%, respectively), an organic component (approximately 4, 25 and 30 vol%, respectively) and water. The inorganic component is hydroxyapatite,  $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ . The oral and dental plaque fluids contain calcium and phosphate ions, and it depends on the pH whether the environment of the tooth is saturated, under- or supersaturated with respect to the mineral. When the environment is undersaturated, demineralisation will occur, and when the environment is supersaturated, remineralisation will take place. The critical pH for tooth mineral to dissolve varies between pH 5.0–5.7. The pH in dental plaque, physiologically around pH 7, will drop after the intake of fermentable carbohydrates to around or just below the critical value. The pH drop will be counteracted by the

clearing and buffering effect of saliva. Furthermore, saliva will bring minerals to the dental plaque. Because caries develops not too far below the point of saturation, it can be assumed that relatively small changes in either factor involved in the caries process may shift the circumstances from being demineralising to remineralising or the other way around. So it may be assumed that the addition of minerals increasing the degree of saturation is beneficial, it may be assumed that factors reducing the acid formation in dental plaque (e.g. antimicrobial agents) are beneficial, and it may be assumed that gustatory, mechanical and psychological stimulation of salivary flow can be beneficial. All these incentives could be incorporated in a food making a functional food (Table 1). It should thereby be realised that any induced change in the oral conditions will be counteracted by the oral homeostasis.

### Methodology to assess the effect of functional food/active ingredients in dental caries control

Food claims towards reducing cariogenicity can be tested in vitro, in animal experiments, in situ and in vivo. In vitro studies mainly comprise the effect of food ingredients on growth, acid production and adherence of oral bacteria or mixed cultures of oral bacteria either in suspension or in biofilm. It is however difficult to validly recreate intra-oral conditions with in vitro systems, and therefore, the predictive value for cariogenicity is low. In animal experiments (usually with the laboratory rat), the animals can be orally infected with oral human bacteria and the feeding can be programmed to simulate diet patterns. In order to score caries, the animals have to be killed. In many countries, there is a move away from the use of animals in research for regulatory purposes and consumer attitudes.

Relatively simple human studies are plaque pH measurements. There are three methods: the plaque sampling (post in vivo), the touch electrode and the interproximal telemetry method. Each of the three types of the methods can satisfactorily identify non-acidogenic foods when used properly with appropriate positive (10% sucrose) and negative (10% sorbitol) controls [45, 73]. It is important to realise that plaque pH methods can indicate acidogenic potential and only possibly the cariogenic potential of a product. If the plaque pH profile of a product is not

**Table 1** Key targets of functional food in cariogenic attack and components of functional food

Cariogenic bacteria (as such)	Probiotics, polyphenols and plant extracts
Bacterial adherence, co-aggregation, glycosyltransferase (GTF) activity	Sugar polyols, bioactive peptides, polyphenols
Bacterial fermentation of carbohydrates	Artificial sweeteners, sugar polyols
Saliva secretion, composition and buffering capacity	Chewing gum as such sugar polyols
Availability of calcium and fluorides	Dairy products, other mineral sources

statistically significantly different from that of the 10% sorbitol rinse, then the food can effectively be considered as non-cariogenic. When the plaque pH profile falls below that of sorbitol but not to pH 5.7, an in situ cariogenicity test is required to further study the foodstuff. As the critical pH is higher for dentine than for enamel, the level of safety 'not below pH 5.7' may not be safe for exposed roots comprising dentine and cementum. The 'critical pH' of dentine and cementum has yet to be preconceived.

In situ caries models involve the use of appliances or other devices that carries enamel or dentine samples and are worn intra-orally by participants [82]. The strength of these models is that all the multifactorial aspects of natural dental caries are generally included. Foods and beverages can be tested under clinically relevant conditions, and very sensitive laboratory methods can be applied to measure changes in mineral status of the tooth substrate.

Finally foods can be tested in clinical experiments including randomised controlled trials. Clinical studies with appropriate controls allow assessing local caries risk factors and clinical assessment of caries increment. Prospective clinical studies should continue at least 36 months in subjects of school age and at least 72 months in adult subjects.

Although there may be a substantial amount of research on a product and its health benefits, it may be difficult to compare in vitro and in vivo data across laboratories due to lack of standardised experimental procedures. Improvement in these aspects and the design of controlled clinical and multidisciplinary research studies is warranted.

### Micronutrients and trace elements

During tooth formation, impurities may be incorporated in the tooth mineral making the mineral either more [e.g. incorporation of  $(\text{CO}_3)^{2-}$  and  $(\text{HPO}_4)^{2-}$ ] or less (e.g. incorporation of  $\text{F}^-$ ) soluble. The impurities that promote enamel solubility will be washed out during de- and remineralisation cycles, while impurities that reduce enamel solubility continue to be incorporated further increasing the resistance against the caries process. A comparison of the (trace) element concentration in caries-affected and healthy teeth suggests that caries associates lower concentrations of fluoride, zinc, iron, copper, nickel, selenium and strontium and higher concentrations of chromium, cobalt, lead and cadmium [22]. The caries reducing effect of fluoride is indisputable. Zinc compounds are added to oral hygiene products to prevent the mineralisation of calculus. There is, however, no indication that this application of zinc would interfere with remineralisation or would adversely affect the anticaries effects of fluoride [30]. The association of

copper ( $\text{Cu}^{2+}$ ) with lower caries prevalence has been reported by various authors [18, 19]. Also, the ability of  $\text{Cu}^{2+}$  to inhibit cariogenesis in animals has been documented [47, 71, 72].  $\text{Cu}^{2+}$  co-crystallised with sugar during manufacturing reduces the cariogenic potential of sugar [71, 72]. The mechanism involved has been attributed to a combination of its antimicrobial effects and to its ability to inhibit demineralisation directly [8]. In situ evidence showed a beneficial effect on dental enamel in response to a cariogenic challenge of a combination of  $\text{Cu}^{2+}$  and amine fluoride [1]. For the other trace elements, there is no strong evidence to reduce cariogenicity when incorporated in food or oral hygiene products.

### Natural anticaries properties of (non-milk) food constituents and plant extracts

Some fruits and plants of human nutrition (e.g. tea, cocoa, coffee and raisins) contain polyphenols as phenolic acids or flavonoids that may suppress by antioxidant activity oral pathogens associated with caries and are thus hypothesised to benefit oral health (Table 2). Further substances identified with antimicrobial activity against oral pathogens are oleanolic acid, oleanolic aldehyde, linoleic acid, linolenic acid, betulin, betulinic acid, 5-(hydroxymethyl)-2-furfural, rutin, beta-sitosterol and beta-sitosterol glucoside.

#### Tea

Tea is an aqueous infusion of dried leaves of the plant *Camellia sinensis* L. (family Theaceae). The chemical composition of tea is complex: polyphenols, catechins, caffeine, amino acids, carbohydrates, protein, chlorophyll, volatile compounds, fluoride, minerals and other undefined compounds [23]. Black tea has many more components than green tea, including bisflavanols, theaflavins, theaflagallins, epiflavic acids, and thearubigins. The most interesting components of tea leaves, in relation to oral health, are epigallocatechin gallate, epicatechin gallate, epigallocatechin, epicatechin, galocatechin and (+)-catechins [23, 53]. These oxidised polyphenols are often collectively called tannins, which are chemically very different from the commercial tannic acid or the plant tannins [92]. It is estimated that a cup of green tea (2.5 g of green tea leaves/200 mL of water) may contain 90 mg of epigallocatechin gallate.

Specific pathogen-free rats infected with *S. mutans* and fed a cariogenic diet containing green tea polyphenols had significantly lower caries scores than control animals [63]. Drinking tea (without added sugar) has been associated with lower caries levels in humans [60, 61]. Although tea is also a source of fluoride, studies have demonstrated that tea

**Table 2** Natural anticaries properties of (non-milk) foods, food constituents and plant extracts

Foods and plant extracts	Anticaries activity		
	In vitro	Animal studies	In vivo
Tea	Antimicrobial Anti-adhesion Inhibition of acid production	Reduced caries development	Antiplateque Reduced caries development in epidemiological studies and clinical trials
Cranberries	Antimicrobial Anti-adhesion Antibiofilm Inhibition of glycosyltransferase		Reduction in <i>S. mutans</i> counts in saliva
Cocoa	Antimicrobial Antibiofilm Inhibition of glycosyltransferase	Cariostatic in hamsters	Reduction in <i>S. mutans</i> counts in plaque and saliva
Edible fungi	Antimicrobial Antibiofilm Inhibition of glycosyltransferase	Reduces cariogenicity	
Roasted coffee	Anti-adhesion		
Variety of vegetables and fruits including apple and raisins	Anti-adhesion Antibiofilm Inhibition of glycosyltransferase		
Wine and grapes	Antimicrobial Anti-adhesion Antibiofilm inhibition of glycosyltransferase		
Propolis	Antimicrobial Anti-adhesion Antibiofilm	Reduces cariogenicity	

Adapted from Signoretto and Canepari [85]

polyphenols rather than fluoride contributed to the anticariogenic potential [35, 96]. Several mechanisms have been proposed for the observed anticariogenic properties of teas. These include tea's inhibitory effect on bacterial growth, bacterial viability, glycosyltransferase activity, adherence and salivary amylase activities [34, 50, 53, 94].

### Cranberry

Cranberry components are potential anticaries agents since they inhibit acid production, attachment and biofilm formation by *S. mutans*. Glucan-binding proteins, extracellular enzymes, carbohydrate production and bacterial hydrophobicity are all affected by cranberry components.

It is often suggested that cranberry components, especially those with high molecular weight, could serve as bioactive molecules for the prevention and/or treatment of oral diseases [5]. After 42 days of using a mouthwash enriched with a high molecular weight polyphenols

cranberry fraction, total bacterial and *S. mutans* counts in saliva were reduced [90].

### Cocoa

Cocoa polyphenol pentamers significantly reduce biofilm formation and acid production by *S. mutans* and *Streptococcus sanguinis* [77]. Extracts from cocoa mass have been shown to have anticariogenic potential but not strong enough to suppress the cariogenicity of sucrose [62]. Cacao bean husk extract (CBH) has been shown to possess antibacterial and antiglycosyltransferase activities. The number of mutans streptococci in dental plaque was significantly reduced when human dental plaque was exposed to CBH extract for 1 h. A mouth rinse with CBH extract in ethanol, before and after each intake of food and before sleeping at night for 4 days without using other oral hygiene procedures, reduced plaque depositions and the numbers of mutans streptococci, compared with rinsing with 1% ethanol alone [50].

## Coffee

Trigonelline, caffeine and chlorogenic acid occurring in green and roasted coffee interfere with *S. mutans* adsorption to saliva-coated hydroxyapatite beads. The anti-acidogenic effects against alpha-haemolytic streptococci showed by polyphenols from coffee suggest further studies to a possible application of this beverage in the prevention of pathogenesis of dental caries [21].

## Apple

The inhibitory effects of apple polyphenols on the synthesis of water-insoluble glucans by glycosyltransferases (GTF) of the mutans streptococci and on the sucrose-dependent adherence of the bacterial cells were examined in vitro. Apple-derived polyphenols markedly inhibited the activity of GTF purified from the cariogenic bacterial cells; however, they showed no significant effect on the growth of the cariogenic bacteria [95].

## Raisins

When the effect of raisins and raisin-containing bran cereal on in vivo plaque acidogenicity was examined in 7–11-year-old children, it was found that raisins did not lower the plaque pH below pH 6 over the 30-min test period [41]. Compared with commercial bran flakes or raisin bran cereal, a smaller plaque pH drop was noted in children who consumed a raisin and bran flake mixture when no sugar was added [93].

## Grapes and wine

When the minimally inhibitory concentration of plant polyphenols was tested in *S. mutans* cultures and in the cultures of other microorganisms, the lowest MICs were found for the extracts of red grape skin 0.5 mg/mL. Grape seed extract, high in proanthocyanidins, positively affected the in vitro demineralisation and remineralisation processes of artificial root caries lesions [93]. The compounds responsible for the antimicrobial activity of wine, however, have been shown not to be the polyphenols but the various organic acids in wine.

## Propolis

Propolis is a resinous mixture that honeybees collect from tree buds, sap flows or other botanical sources and contains numerous flavonoids. It is used as a sealant for unwanted open spaces in the hive. Propolis has been shown to exhibit good antimicrobial activity against a range of oral bacteria and inhibit the adherence of *S. mutans* and *S. sobrinus* to

glass [39]. It was also shown to be a potent inhibitor of water-soluble glucan synthesis (GTF-activity inhibitor). The activity of propolis against a number of microorganisms, including *S. mutans* and *Streptococcus sobrinus*, was demonstrated [85]. Ethanol extracts of four samples of propolis collected from different geographical regions in Anatolia exhibited MIC values of 2–64 µg/mL. Propolis showed antimicrobial activity similar to chlorhexidine and greater than clove or sage extracts in a study investigating the ability of these chemicals to inhibit the growth of microbes obtained from the saliva of periodontally healthy subjects and those with chronic periodontitis [20].

*Nidus vespae*, the honeycomb of *Polistes olivaceus* (De Geer), *P. japonicus de Saussure* and *P. Parapolybiavaria fabricius*, is a traditional Chinese medicine that has a number of pharmacological properties. While *N. vespae* is similar to propolis, it contains additional material including waxes and aromatic oils. Like propolis, extracts and fractions of *N. vespae* have been shown to exert antimicrobial activity towards a number of oral microorganisms, in particular *S. mutans* [94]. In addition, the extracts showed significant anti-acidogenic activity.

## Food preservatives

There has been a large increase in the use of food preservatives over the last few decades. For instance, in the last decades of the previous century, the use of preservatives in the USA increased over 20-fold and it is estimated that the average ingestion of benzoate in the USA is currently 2.3 mg/kg bw per person per day. Manufactured foods and soft drinks are the primary sources of preservatives. Many toothpastes and mouthwashes also contain benzoate.

Sodium benzoate, potassium sorbate and sodium nitrite are commonly used food preservatives. Food preservatives behave like weak acids and can dissipate the ΔpH across the bacterial cell membrane causing acidification of the cytoplasm. In an in vitro study, food preservatives inhibited *S. mutans* biofilm formation [3]. In another study, the maximum permitted use levels of sorbic and benzoic acid was shown to inhibit the growth of oral streptococci but not the in vitro glycolysis at tested concentrations [42]. The combination of benzoate and fluoride reduced caries activity more effectively in rodents fed a cariogenic diet ad libitum than fluoride alone [17]. In a double-blind, controlled crossover study, Arweiler et al. [4] evaluated the influence of food preservatives (0.1% benzoate, BA, and 0.1% sorbate, SA) on in situ dental biofilm growth in comparison with 0.2% chlorhexidine (CHX) and saline. After 5 days, the developed biofilms were scanned after staining by confocal laser scanning microscopy for biofilm thickness and bacterial vitality. The use of SA, BA and

CHX resulted in a significantly reduced biofilm thickness and bacterial vitality compared to saline ( $p < 0.001$ ). Differences between SA and BA were not statistically significant, while CHX showed significantly lower values. Thus, both preservatives showed antibacterial and plaque-inhibiting properties, but not to the extent of 0.2% CHX.

### Alternative sweeteners

Sugar-free sweets, confectionery, chewing gum and drinks are formulated with sugar alcohols, intense sweeteners, non-cariogenic disaccharides and non-cariogenic bulking agents. The relevant alternative sweeteners, sugar replacers and bulking agents currently used are described in Table 3.

The most widely used sugar alcohols are xylitol (pentitol), sorbitol, mannitol (both hexitols), maltitol, lactitol (both glucosyl-hexitols) and hydrogenated starch hydrolysates (6–8% sorbitol, 50–55% maltitol (1,4-glucosyl-sorbitol), 20–25% maltotriitol (di-glucosyl-sorbitol) and 10–20% poly glucosyl alcohols) and isomalt (1:1 mixture of 1,6-glucosyl-sorbitol and 1,1-glucosyl-mannitol, two glucosyl-polyols). Other sugar alcohols such as erythritol are emerging with promising results [7]. Erythritol may reduce the numbers of mutans streptococci and the amount of dental plaque to the same extent as xylitol [36, 46]. The relative sweetness of the sugar alcohols compared to sucrose varies from 0.5 to 1. All sugar alcohols have been tested in vitro for fermentation by oral microorganisms and can be classified as hypo- or non-acidogenic. There is a reduced or virtually no extracellular polysaccharide production from sugar alcohols. Hypo- and non-acidogenicity of the sugar alcohols is confirmed by plaque pH measurements. From animal experiments and intra-oral cariogenicity tests (ICT), it is concluded that sugar alcohols are (extremely) low or non-cariogenic. In vitro, adaptation of mutans streptococci by frequent subculturing in sorbitol,

maltitol, lactitol and hydrogenated starch hydrolysates occur, but this is not likely to be important in vivo when the sugar alcohols are given in combination with a diet rich in sucrose. In all these experiments, xylitol stands out. With rare exceptions, xylitol is not fermented by oral microorganisms. Xylitol inhibits the growth of mutans streptococci [86] even selectively in mixed chemostat cultures [6]. It interferes with the glycolysis when glucose is used as energy source [89] although this may not be a stable phenomenon in vivo [74]. In vivo, there was also no reduction of the acidogenic response of dental plaque to sucrose after periods of using xylitol chewing gums [91] or xylitol mouth rinses [44]. It has been proposed that xylitol weakens the caries-inductive properties of dental plaque colonising newly erupting tooth surfaces [31] and that such a caries protective effect might persist several years after the cessation of use of xylitol products [28]. From animal experiments, it has been concluded that xylitol is anticariogenic [26]. Recently, it has been demonstrated that children of mothers who frequently use xylitol-sweetened chewing gum were less colonised with mutans streptococci. For all this, it is widely believed that xylitol is superior to the other sugar alcohols for potential caries control (for reviews: [81, 83]), although the clinical evidence for this superiority has been challenged and classified as weak [29, 74, 84, 88]. For all sugar alcohols, there is a limited use due to laxative effects in particular in beverages.

Meanwhile, novel disaccharides of very low acidogenicity and with good gastrointestinal tolerance for manufacturing tooth-friendly sweets have been developed and are on the market (isomaltulose and leucrose).

Intense sweeteners are not substrates for oral microorganisms and, as such, can be classified as non-cariogenic. As a result of their intense sweetness, only very small amounts are needed. Therefore, these sweeteners are mainly used to sweeten beverages such as soft drinks,

**Table 3** Commonly used sugar substitutes (sugar alcohols, bulking agent, novel disaccharides and intense sweeteners) for the manufacture of tooth-friendly confectionery and for use in oral care products

Category	Structure	Examples
Sugar alcohols (hydrogenated carbohydrates)	Hydrogenated monosaccharides	Sorbitol, mannitol, xylitol, erythritol
	Hydrogenated disaccharides	Isomalt, lactitol, maltitol
	Hydrogenated oligosaccharides	Maltitol syrups, hydrogenated starch hydrolysates
Bulking agent	Polymer	Polydextrose
Novel disaccharides	Disaccharide (isomers to sucrose)	Leucrose, isomaltulose (Palatinose <sup>TM</sup> )
Non-caloric, intense sweeteners	Acesulfame K	
	Aspartame	
	Cyclamate	
	Saccharin	
	Sucralose	

All substances are non-cariogenic, and some of the intense sweeteners can interfere with microbial fermentation

coffee and tea. Although the light or diet soft drinks may not cause caries, they may contain high amounts of acids that could cause tooth erosion.

### Bioactive peptides in dairy products

Dairy products were recognised in the late 1950s as a food group that is effective in preventing dental caries. Shaw et al. [76] observed that milk, ice cream and cheese lowered incidence of dental caries in rats. Desalivated rats given 2% milk or lactose-reduced milk remained essentially caries-free [6]. Epidemiological studies in recent years indicate that children [65, 66] and adolescents [67] with low incidence of dental caries drank more milk than those with high caries incidence. Elderly people who eat cheese several times per week had a lower incidence of root surface caries development [64]. Several reviews describe the role of milk and dairy products in dental caries prevention [33, 35, 54].

The dairy components that have anticariogenic properties are calcium, phosphate, casein and lipids. Casein added to food (e.g. chocolate) reduced cariogenicity, but casein's adverse organoleptic properties and the large amount required for efficacy precluded its use in a food. Digestion of casein did not destroy the proteins' ability to prevent enamel demineralisation in a human oral caries model. Two casein digestives, caseinophosphopeptides (CPP) and glycomacropeptide (GMP), have been patented for use in common personal hygiene products to prevent dental caries. Research has shown CPP and GMP to be growth inhibitory to the cariogenic bacteria *S. mutans* and other species [32, 56, 57]. Additionally, CPP forms nanoclusters with amorphous calcium phosphate at the tooth surface to provide a reservoir of calcium and phosphate ions to maintain a state of super saturation with respect to tooth enamel.

Caseinophosphopeptides (CPP) can be produced forming colloidal complexes with calcium and phosphate in solution (CPP–ACP). The use of CPP–ACP would increase the level of amorphous calcium phosphate in plaque increasing the degree of saturation. Specific pathogen-free rats orally infected with *S. sobrinus* had a reduced incidence of smooth surface caries after CPP–ACP solutions were applied to the animal's teeth twice daily. The in situ caries model has shown the ability of CPP–ACP to prevent enamel demineralisation and promote remineralisation. Clinical experiments have been conducted with CCP–ACP in chewing gum (for overview see: [70]).

Schupbach et al. [75] demonstrated that GMP could prevent cariogenic bacterial adhesion in an in vitro model. The researchers speculate that GMP reduces dental caries by changing the microbial population of dental plaque from

being predominated by *S. mutans* and *S. sangius* to a less cariogenic population predominated by *Actinomyces viscosus*. In vitro experiments, Reynolds et al. [69] showed GMP to have an inhibitory activity to enamel demineralisation.

Other bioactive components of milk that might play a role in prevention of dental caries include lactoferrin, lysozyme, lactoperoxidase, folate-binding protein, immunoglobulin proteins, growth factors and others (Table 4). For example, lactoferrin inhibits adherence of *S. mutans* to saliva-coated hydroxyapatite beads. Lactoperoxidase and lysozyme synergistically inhibit glucose metabolism by *S. mutans* [43]. Proteose peptone fractions 3 and 5 were shown to inhibit demineralisation of hydroxyapatite in vitro [24].

### Probiotics

The idea to use bacteria to modify plaque virulence has been a topic of dental research for many years. There have been several approaches. It has been investigated how the resident oral flora associated with health could be favoured over the species associated with disease. Many early studies concentrated on utilising bacteria that were known to compete with or that expressed bacteriocins or bacteriocin-like inhibitory substances against cariogenic bacteria [27, 80, 87]. Another approach was to replace for instance

**Table 4** Bioactive proteins secreted in bovine milk [3]

Protective proteins	Immunoglobulins
	Proteose peptones
	Lactoferrin
	Transferrin
Growth factors	Epidermal growth factor (EGF)
	Tissue growth factor $\beta$ (TGF $\beta$ )
	Insulin-like growth factor (IGF-1)
Enzymes	Lactoperoxidase
	Lysozyme
	Plasmin
	Xanthine oxidase
	Glucose oxidase
Hormones	Thyrotropin-releasing hormone (TRH)
	Somatostatin (SIH)
	Calcitonin
	Insulin
	Relaxin
	Thyroid-stimulating hormone (TSH)
	Luteinising-releasing hormone (LRH)
	Gastrin-releasing peptide (GRP)
	Adrenocorticotrophic hormone (ACTH)
	Prolactin

*S. mutans* strains with strains of attenuated virulence (lactate dehydrogenase deficiency) and increased competitiveness [27]. A recent study utilised a recombinant strain of *S. mutans* expressing urease, which was shown to reduce the cariogenicity of plaque in an animal model [15]. Recently, there has been a focus to maintain a healthy oral flora by modification by non-resident bacteria, the probiotic approach. The rationale for their use in oral healthcare stems from the increase in evidence that supports their claims for benefit for a range of diseases, especially in the gastrointestinal tract [48, 59, 68]. The use of probiotics fits with the paradigm shift in oral microbiology away from treating dental diseases by targeting specific oral pathogens towards an ecological and microbial community-based approach [49, 78]. These approaches recognise the importance of maintaining the natural balance of the resident oral microbiota and the need to carefully modulate host immune responses to the microflora at a site.

The probiotic approach needed to identify food grade and probiotic bacteria that may have potential in caries prevention. These have been selected because of their likely ability to colonise teeth and influence the supragingival plaque; in vitro models for this selection have included adhesion to hydroxyapatite and mixed species biofilm models [16, 25]. Also, strains have been screened for suitable antagonistic activity against relevant oral bacteria [66]. In vitro studies of the antibacterial activity of live yoghurts showed inhibition of *S. mutans* but not of some other oral streptococci, including *S. sobrinus*; this activity was heat-sensitive implying that the effect was not simply due to acid [66].

Recently, oral lactobacilli have also been screened for their utility as potential probiotic strains [79], and strains of oral lactobacilli have been isolated that are inhibitory against *S. mutans*, *Aggregatibacter actinomycetemcomitans*, *Porphyromonas gingivalis* and *Prevotella intermedia*, as well as being tolerant of relevant environmental stresses [38].

Recently, a probiotic mouthwash product containing three selected species of naturally occurring oral streptococci strains, *S. oralis* KJ3sm, *S. uberis* KJ2sm and *S. rattus* JH145, successfully affected the levels of dental pathogens (*S. mutans*) in saliva and periodontal pathogens in subgingival plaque (*P. gingivalis* and *Campylobacter rectus*) [97].

Genetically modified probiotics with enhanced properties can be developed ('designer probiotics'). For example, a recombinant strain of *Lactobacillus* that expressed antibodies targeting one of the major adhesins of *S. mutans* (antigen I/II) was able to reduce both the viable counts of *S. mutans* and the caries score in a rat model [40].

Clinical studies have indicated that bacteria with established probiotic effects (lactobacilli and bifidobacteria)

have some promise for the prevention of caries. LGG ingested in dairy products (milk and cheese) reduced salivary mutans streptococcal counts in adults and protected against caries in children [2, 55]. Other lactobacilli have also been shown to reduce mutans streptococcal counts in saliva. *Lactobacillus reuteri*, when delivered by yoghurt [58], straw or tablet [11], by chewing gum [12] or as a lozenge [13], significantly reduced the counts of mutans streptococci in saliva ( $p < 0.05$ ). The short-term consumption of yoghurt [9, 10] or ice cream [14] containing *Bifidobacterium* spp. resulted in a significant reduction in salivary mutans streptococci ( $p < 0.05$ ) but not in lactobacilli. Other studies have reported reductions in mutans streptococci levels in saliva following use of probiotic-containing yoghurts [65].

Despite the fact that some products have reached the market, there remains a paucity of clinical evidence to support the effectiveness of probiotics to prevent or treat caries [51, 52].

## Conclusions

Caries is the result of an interaction of microbes (plaque), dietary factors and their fermentation on teeth. The effect of dietary components on microbial fermentation can be studied in situ and in vitro. Many dietary factors have been identified that enhance or reduce the formation of cariogenic lesions. Together with improved oral hygiene and fluoridated toothpaste, functional food ingredients/constituents that inhibit oral microflora and/or their fermentation thus contribute to a reduction of dental caries. Some products such as sugar alcohols are already on the market for a long time. Research has also identified the beneficial effects of certain phytochemicals. For other concepts such as probiotics, evidence is just starting to emerge.

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